

Applicant or Patentee: ANNABELLE KIM AND R. LEE ROBERTS Attorney's
Serial or Patent No.: _____ Docket No.: _____
Filed or Issued: _____
For: METHOD OF WASHING AN UPFLOW FILTER AND FILTER BED EMPLOYED IN SAID FILTER

MAILED 26 MAR 26 1993
VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) and 1.27(c)) - SMALL BUSINESS CONCERN

I hereby declare that I am

- ☐ the owner of the small business concern identified below:
☒ an official of the small business concern empowered to act on behalf of the concern identified below:

NAME OF CONCERN ROBERTS FILTER MANUFACTURING COMPANY
ADDRESS OF CONCERN Sixth and Columbia Avenue
Darby, Pennsylvania 19023

I hereby declare that the above identified small business concern qualifies as a small business concern as defined in 13 CFR 121.3-18, and reproduced in 37 CFR 1.9(d), for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, in that the number of employees of the concern, including those of its affiliates, does not exceed 500 persons. For purposes of this statement, (1) the number of employees of the business concern is the average over the previous fiscal year of the concern of the persons employed on a full-time, part-time or temporary basis during each of the pay periods of the fiscal year, and (2) concerns are affiliates of each other when either, directly or indirectly, one concern controls or has the power to control the other, or a third party or parties controls or has the power to control both.

I hereby declare that rights under contract or law have been conveyed to and remain with the small business concern identified above with regard to the invention, entitled Method of Washing an Upflow Filter and Filter Bed.... by inventor(s) Annabelle Kim and R. Lee Roberts described in

- ☒ the specification filed herewith
☐ application serial no. _____, filed _____
☐ patent no. _____, issued _____

If the rights held by the above identified small business concern are not exclusive, each individual, concern or organization having rights to the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small business concern under 37 CFR 1.9(d) or by any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e). *NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

NAME _____
ADDRESS _____
☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

NAME _____
ADDRESS _____
☐ INDIVIDUAL ☐ SMALL BUSINESS CONCERN ☐ NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

NAME OF PERSON SIGNING R. LEE ROBERTS
TITLE OF PERSON OTHER THAN OWNER PRESIDENT
ADDRESS OF PERSON SIGNING 409 Concord Road
Boothwyn, Pennsylvania 19061
SIGNATURE [Signature] DATE 27 August 1992

Applicant or Patentee: Annabelle Kim and R. Lee Roberts Attorney's
Serial or Patent No.: _____ Docket No.: _____

Filed or Issued: _____
For: METHOD OF WASHING AN UPFLOW FILTER AND FILTER BED EMPLOYED IN SAID FILTER

MAR 28 1993
VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS
(37 CFR 1.9(f) and 1.27(b)) - INDEPENDENT INVENTOR

I, Annabelle Kim, named inventor, I hereby declare that I qualify as an independent inventor as defined in 37 CFR 1.9(c) for purposes of paying reduced fees under section 41(a) and (b) of Title 35, United States Code, to the Patent and Trademark Office with regard to the invention entitled METHOD OF WASHING AN UPFLOW FILTER AND FILTER BED EMPLOYED IN SAID FILTER described in

[X] the specification filed herewith
[] application serial no. _____, filed _____
[] patent no. _____, issued _____

I have not assigned, granted, conveyed or licensed and am under no obligation under contract or law to assign, grant, convey or license, any rights in the invention to any person who could not be classified as an independent inventor under 37 CFR 1.9(c) if that person had made the invention, or to any concern which would not qualify as a small business concern under 37 CFR 1.9(d) or a nonprofit organization under 37 CFR 1.9(e).

Each person, concern or organization to which I have assigned, granted, conveyed, or licensed or am under an obligation under contract or law to assign, grant, convey, or license any rights in the invention is listed below:

[] no such person, concern, or organization
[X] persons, concerns or organizations listed below*

*NOTE: Separate verified statements are required from each named person, concern or organization having rights to the invention averring to their status as small entities. (37 CFR 1.27)

FULL NAME ROBERTS FILTER MANUFACTURING COMPANY
ADDRESS Sixth and Columbia Avenue, Darby, Pennsylvania 19023
[] INDIVIDUAL [X] SMALL BUSINESS CONCERN [] NONPROFIT ORGANIZATION

FULL NAME _____
ADDRESS _____
[] INDIVIDUAL [] SMALL BUSINESS CONCERN [] NONPROFIT ORGANIZATION

FULL NAME _____
ADDRESS _____
[] INDIVIDUAL [] SMALL BUSINESS CONCERN [] NONPROFIT ORGANIZATION

I acknowledge the duty to file, in this application or patent, notification of any change in status resulting in loss of entitlement to small entity status prior to paying, or at the time of paying, the earliest of the issue fee or any maintenance fee due after the date on which status as a small entity is no longer appropriate. (37 CFR 1.28(b))

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under section 1001 of Title 18 of the United States Code, and that such willful false statements may jeopardize the validity of the application, any patent issuing thereon, or any patent to which this verified statement is directed.

<u>ANNABELLE KIM</u> NAME OF INVENTOR <u>Annabelle Kim</u> Signature of Inventor <u>23 August 1990</u> Date	<u>R. LEE ROBERTS</u> NAME OF INVENTOR <u>[Signature]</u> Signature of Inventor <u>27 August 1990</u> Date	<u>_____</u> NAME OF INVENTOR <u>_____</u> Signature of Inventor <u>_____</u> Date
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Declaration, Power of Attorney, and Petition

As a below named inventor, I hereby declare that:

My residence, post office address and citizenship are as stated below next to my name.

I believe I am the original, first and sole inventor (if only one name is listed below) or an original, first and joint inventor (if plural names are listed below) of the subject matter which is claimed and for which a patent is sought on the invention entitled:

METHOD OF WASHING AN UPFLOW FILTER AND
FILTER BED EMPLOYED IN SAID FILTER

the specification of which

(check one) ☒ is attached hereto.

☐ was filed on

as

Application Serial No. _____ and was amended on _____

(if applicable).

I hereby state that I have reviewed and understand the contents of the above identified specification, including the claims, as amended by any amendment referred to above.

I acknowledge the duty to disclose information which is material to the examination of this application in accordance with Title 37, Code of Federal Regulations, § 1.56(a).

I hereby claim foreign priority benefits under Title 35, United States Code § 119 of any foreign application(s) for patent or inventor's certificate listed below and have also identified below any foreign application for patent or inventor's certificate having a filing date before that of the application on which priority is claimed:

Prior Foreign Application(s)

None			Priority claimed	
(Number)	(Country)	Day/month/year filed	<input type="checkbox"/> Yes	<input type="checkbox"/> No
(Number)	(Country)	Day/month/year filed	<input type="checkbox"/> Yes	<input type="checkbox"/> No
(Number)	(Country)	Day/month/year filed	<input type="checkbox"/> Yes	<input type="checkbox"/> No

I hereby claim the benefit under Title 35, United States Code, § 120 of any United States application(s) listed below and, insofar as the subject matter of each of the claims of this application is not disclosed in the prior United States application in the manner provided by the first paragraph of Title 35, United States Code, § 112, I acknowledge the duty to disclose material information as defined in Title 37, Code of Federal Regulations, § 1.56(a) which occurred between the filing date of the prior application and the national or PCT international filing date of this application:

561,030 August 1, 1990

Pending

(Application Serial No.) (Filing date)

(Status) (patented, pending, abandoned)

(Application Serial No.) (Filing date)

(Status) (patented, pending, abandoned)

I hereby declare that all statements made herein of my own knowledge are true and that all statements made on information and belief are believed to be true; and further that these statements were made with the knowledge that willful false statements and the like so made are punishable by fine or imprisonment, or both, under Section 1001 of Title 18 of the United States Code and that such willful false statements may jeopardize the validity of the application or any patent issued thereon.

And I hereby appoint ~~XXX CLARK, Esq., XXX~~ XXX CLARK, Esq., XXX; Alan H. Bernstein (Registration No. 19,315); Stanley H. Cohen (Registration No. 20,235); Manny D. Pokotilow (Registration No. 22,492); Barry A. Stein (Registration No. 25,257); Martin L. Faigus (Registration No. 24,364); Max Goldman (Registration No. 31,363); and Eric S. Marzluf (Registration No. 27,454); care of Caesar, Rivise, Bernstein, Cohen & Pokotilow, Ltd., Suite 800, Stephen Girard Building, 21 South 12th Street, Philadelphia, Pennsylvania 19107, my attorneys with full power of substitution and revocation, to prosecute this application and to transact all business in the Patent and Trademark Office connected therewith.

Wherefore I pray that Letters Patent be granted to me for the invention or discovery described and claimed in the foregoing specification and claims, and I hereby subscribe my name to the foregoing specification and claims, declaration, power of attorney, and this petition.

Full name of sole or first inventor	<u>Annabelle Kim</u>	Date <u>23 August 1990</u>
Inventor's signature	<u><i>Annabelle Kim</i></u>	
Residence	<u>Rosemont, Pennsylvania</u>	
Citizenship	<u>United States of America</u>	
Post Office Address	<u>1030 East Lancaster Avenue</u>	
	<u>Rosemont, Pennsylvania 19010</u>	
Full name of second joint inventor, if any	<u>Lee Roberts</u>	Date <u>23 August 1990</u>
Second Inventor's signature	<u><i>Lee Roberts</i></u>	
Residence	<u>Boothwyn, Pennsylvania</u>	
Citizenship	<u>United States of America</u>	
Post Office Address	<u>409 Concord Road</u>	
	<u>Boothwyn, Pennsylvania 19061</u>	

(Supply similar information and signature for third and subsequent joint inventors.)